



03500.017825.

PATENT APPLICATION

IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
TATSUYA IWASAKI ) Examiner: M. Mooney  
Application No.: 10/533,564 ) Group Art Unit: 2883  
Filed: May 3, 2005 )  
For: PROCESS OF INFORMATION )  
TRANSMISSION IN OPTICAL )  
CIRCUIT DEVICE AND OPTICAL )  
CIRCUIT DEVICE THEREFOR : Date: October 20, 2006

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed below and on the enclosed Form PTO-1449. Copies of the non-U.S. listed documents are also enclosed.

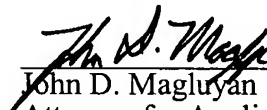
- (1) JP 9-107330
- (2) JP 2000-261383
- (3) JP 5-183515
- (4) JP 8-181654
- (5) JP 9-307502

Documents (1) to (5) were cited during prosecution of a Japanese patent application corresponding to the above U.S. application. An English-language abstract for each of documents (1) to (5) is enclosed herewith. Concise explanations of relevance for non-English language documents are believed to be provided by the enclosed English language abstracts. See MPEP § 609.

Inasmuch as this application has not yet received a first Office Action on the merits, it is believed that this Information Disclosure Statement is timely. See 37 C.F.R. § 1.97(b)(3). Accordingly, the Examiner is urged to study this information in its entirety and to form an independent determination of the materiality of the information to the claimed invention. Additionally, the Examiner is requested to indicate that this information has been considered by initialing the appropriate portion of the enclosed Form PTO-1449 and returning the initialed form to Applicant with the next communication.

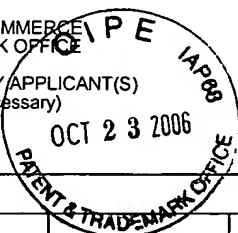
Applicant's undersigned attorney may be reached in our Costa Mesa, California office by telephone at (714) 540-8700. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

  
\_\_\_\_\_  
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Registration No.: 56,867

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CA\_MAIN #122135

FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)			ATTY DOCKET NO. <b>03500.017825</b>		APPLICATION NO. <b>10/533,564</b>		
			APPLICANT <b>TATSUYA IWASAKI</b>				
			FILING DATE <b>May 3, 2005</b>		GROUP <b>2883</b>		
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
		9-107330	1997-04-22	Japan			Abstract
		2000-261383	2000-09-22	Japan			Abstract
		5-183515	1993-07-23	Japan			Abstract
		8-181654	1996-07-12	Japan			Abstract
		9-307502	1997-11-28	Japan			Abstract
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER				DATE CONSIDERED			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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